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PATENT

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May 13, 2002

Date

Mary G. Painter

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gurtej S. Sandhu

Attorney Docket No.: 500042.02

Serial No. : 10/035,828

Group Art Unit : 3723

Filed : December 28, 2001

Examiner : not yet assigned

Title : METHOD AND APPARATUS FOR ENDPOINTING MECHANICAL AND  
CHEMICAL-MECHANICAL POLISHING OF SUBSTRATES

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### INFORMATION DISCLOSURE STATEMENT

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

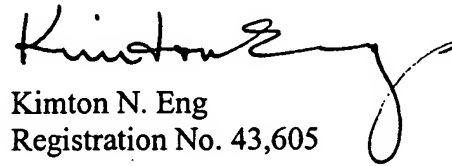
In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 08/917,665, filed August 21, 1997, and issued December 28, 1999, as Patent No. 6,007,408. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in

compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



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Enclosure:  
Form PTO-1449

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